N N	Yes	pendent child	silities of a spouse or dependent child Committee on Ethics.	"unearned" income, transactions, or liabilities unless you have first consulted with the Comi	arned" income	er assets, "une wer "yes" unle	EXEMPTION — Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Com
No	Yes 🔲	ot be	ent child?	s and certain other "e spouse, or a depende	nittee on Ethic ting you, your	d by the Comn a trust benefi	TRUSTS —Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or a dependent child?
Ø	EACH OF THESE QUESTIONS)F THESE	ANSWER EACH O	1	T INFOR	OR TRUS	EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION
	response.	each "Yes" ı	dule attached for each "Yes" response	uppropriate scheu	and the a	e answered	Each question in this part must be answered and the appropriate schedule
<u>8</u>	Yes 🔳	\$5,000 from	VI. Did you receive compensation of more than \$5,000 from a single source in the two prior years? If yes, complete and attach Schedule VI.	VI. Did you receive compensation of n a single source in the two prior years? If yes, complete and attach Schedul	8 •	Yes	III. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule III.
S	Yes	angement	V. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule V.	V. Did you have any reportat with an outside entity? If yes, complete and attach	8	Yes	II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule II.
8	Yes	efore the date r two years?	N. Did you hold any reportable positions on or before the date of filing in the current calendar year or in the prior two years? If yes, complete and attach Schedule IV.	IV. Did you hold any reportat of filing in the current calends if yes, complete and attach	<u>s</u>	Yes	 Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.
			0 ,	ANSWER EACH OF THESE QUESTIONS	OF THES	R EACH	In all sections, please type or print clearly In blue or black ink. PRELIMINARY INFORMATION — ANSWE
	more than 30 days late.	more than :)ffice:	Status New officer or Employing Office:
ssessed		A \$200 per	Check if Amendment	1; November 4, 2014	Date of Election:		Candidate for the State: MAINE House of Representatives District: 2
	Office Use Only)		+				Bruce L. Poliquin
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SCHEDULE I — EARNED INCOME (INCLUDING HONORARIA)

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

Exclude	Exclude: williary pay (such as national Guard of Reserve pay), lederal retirement programs, and benefits received under the social Security Act.	ement programs, and benefits re	ceived under the Social S	ecurity Act.
	Source (include date of receipt for honoraria)	Туре	Amount	
			A	Bungani
7	ATA Corporation, Houston, TA	Selecty	\$6,300	9Z0,400
Examples:	First Bank & Trust, Houston, TX	Director's Fee	\$400	\$3,200
	XYZ Trade Association, Chicago, IL (Rec'd December 2)	Honorarium	0	\$1,000
	Harris County, Texas Public Schools	Spouse Salary	NA	NA
State of M	State of Maine, Augusta, ME	Salary	0	\$3,000
			-	

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1		_	 _	-	 _	╀	DC En	,70	homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in personal checking or savings accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (IDC) or is jointly held with your spouse (JT), in the optional column on the far left. For a detailed discussion of Schedule II requirements, please refer to the instruction booklet.	iocation in Block A. Exclude: Your personal residence, including second	For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic	Provide complete names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) Flans) provide the value for each asset held in the account that exceeds the reporting thresholds. For rental or other real property held for investment, provide a complete address or a description, e.g., "rental property" and the city and state.	Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting periode, and (b) end of the reporting periode, and (b) end of the reporting the end of the end of the reporting periode, and (b) energed reportable asset or sources of income during the user.	>
Vanguard Star Fund	Vanguard Prime Money Market Fund	Vanguard Tax Exempt Money Market Fund	Vanguard Prime Money Market Fund	Vanguard 500 Index Fund (Admiral Shares)	Bank of America Checking Acc't	1	Examples:		s and	9 3	the not	le co	y (a)	BLOCK A Asset and/or Income Source
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Continuation Sheet (if needed)

Name Poliquin, Bruce L. Pa

Page 4 of 11

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	House, Oakland, ME	Marshell Mall Associates, real estate Philadelphia, PA (land & buildings) LIQUIDATED 12/31/13	Zweig-Dildenna Partners, LP, investment partnership, New York, NY	Popham Beach Club LLC, recreation business Phippsburg, ME	C.K. Capital LP, investment partnership North Miami Beach, FL ("see attached")	Dirigo Holdings LLC, real estate development Yarmouth, ME (land & buildings)	Ganeden Biotech stock, health care/ biotech Mayfield Heights, OH	Condominium, Ormond Beach, FL	Vanguard Total Stock Market Index Fund (new holding; no income)	Undeveloped land, Oakland, ME	House, Phippsburg, ME	Vanguard 500 Index Fund (Admiral Shares)	Vanguard 500 Index Fund (Investor Shares)			BLOCK A Asset and/or Income Source
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SCHEDULE III — LIABILITIES

Name Poliquin, Bruce L. Page 5 of 11

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the reporting period. Exclude: Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furnitively. ling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the previous calendar year exceeded \$10,000. ture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sib-

 		N/A	Exam,	SP, DC,	
		/A	Example: First Bank of Wilmington, DE	Creditor	
			May 1998	Date Liability Incurred mo/year	
			Mortgage on 123 Main Street, Dover, DE	Type of Liability	
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SCHEDULE IV — POSITIONS

Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, company, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

and positions solely of an honorary nature. Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization);

Position	Name of Organization
Member	Popham Beach Club LLC, Phippsburg, ME
Member	Dirigo Holdings LLC, Portland, ME
Limited Partner	Marshall Mall Associates , Philadelphia, PA (Liquidated 12/31/13)
Limited Partner	C.K. Capital LP, Miami Beach, FL
Limited Partner	Zweig-DiMenna Partners LP

SCHEDULE V - AGREEMENTS

Poliquin, Bruce L.	
Page 6 of 11	

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efit plan maintained by a former employer.	service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing pai	Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of	
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Date	Parties To	Terms of Agreement
	N/A	

SCHEDULE VI — COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Report sources of such compensation received by you or your business affiliation for services provided directly by you during the two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any nonprofit organization if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. Government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule I.

3	
Source (Name and Address)	Brief Description of Duties
Example: Doe Jones & Smith, Hometown, Homestate	Accounting services
N/A	

GPO: 2013 78-995 (mac)

CONTINUATION OF SCHEDULE IV -- POSITIONS

SCHEDULE III — LIABILITIES

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the reporting period. Exclude: Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furnitively. ture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report revolving charge accounts (i.e., credit cards) only if the balance at the close of the previous calendar year exceeded \$10,000

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				Example: First Bank of Wilmington, DE	Creditor	
				May 1998	Liability Incurred mo/year	Date
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SCHEDULE IV — POSITIONS

Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an officer, director, trustee, partner, proprietor, representative, employee, or consultant of any corporation, company, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

and positions solely of an honorary nature. **Exclude:** Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization);

Position	Name of Organization
Board Member	Popham Woods Condominium Home Owners Association

Continuation Sheet (if needed)

Name Poliquin, Bruce L. Page 8 of 11

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Name Poliquin, Bruce L

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Name Poliquin, Bruce L.

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Name Poliquin, Bruce L Page 11 of 11

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